

1129
09/27/01
U.S. PTO

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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. N9450.0032/P032

First Inventor Shinichi Wakui

Title ROTOR AND ROTATING ELECTRICAL, etc.

Express Mail Label No. _____

09/27/01
09/963414

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages **23**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
5. Oath or Declaration [Total Pages **5**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:
Box Patent Application
Commissioner for Patents
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement *(when there is an assignee)* Power of Attorney
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

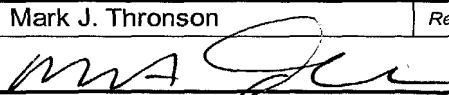
Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	 24998		<input checked="" type="checkbox"/> Correspondence address below
Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Mark J. Thronson		
Address	2101 L Street NW		
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		Zip Code	20037-1526
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Name (Print/Type)	Mark J. Thronson	Registration No. (Attorney/Agent)	33,082
Signature			Date
			September 27, 2001

09/27/01
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1100.00)

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Shinichi Wakui
Examiner Name	Not Yet Assigned
Group Art Unit	N/A

Attorney Docket No. N9450.0032/P032

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 04-1073

Deposit Account Name Dickstein Shapiro Morin & Oshinsky LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	710	201	355
			Utility filing fee
			710.00
106	320	206	160
			Design filing fee
107	490	207	245
			Plant filing fee
108	710	208	355
			Reissue filing fee
114	150	214	75
			Provisional filing fee
SUBTOTAL (1)		(\$)	710.00

2. EXTRA CLAIM FEES

Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid
18	-20** =	0	X 18.00 =	0
Independent Claims	4	-3** =	1 X 80.00 =	80.00
Multiple Dependent			270.00 =	270.00

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)	350.00	

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
			Surcharge - late filing fee or oath
127	50	227	25
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
			Non-English specification
147	2,520	147	2,520
			For filing a request for ex parte reexamination
112	920*	112	920*
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
			Extension for reply within first month
116	390	216	195
			Extension for reply within second month
117	890	217	445
			Extension for reply within third month
118	1,390	218	695
			Extension for reply within fourth month
128	1,890	228	945
			Extension for reply within fifth month
119	310	219	155
			Notice of Appeal
120	310	220	155
			Filing a brief in support of an appeal
121	270	221	135
			Request for oral hearing
138	1,510	138	1,510
			Petition to institute a public use proceeding
140	110	240	55
			Petition to revive - unavoidable
141	1,240	241	620
			Petition to revive - unintentional
142	1,240	242	620
			Utility issue fee (or reissue)
143	440	243	220
			Design issue fee
144	600	244	300
			Plant issue fee
122	130	122	130
			Petitions to the Commissioner
123	50	123	50
			Processing fee under 37 CFR 1.17(q)
126	180	126	180
			Submission of Information Disclosure Stmt
581	40	581	40
			Recording each patent assignment per property (times number of properties)
146	710	246	355
			Filing a submission after final rejection (37 CFR 1.129(a))
149	710	249	355
			For each additional invention to be examined (37 CFR 1.129(b))
179	710	279	355
			Request for Continued Examination (RCE)
169	900	169	900
			Request for expedited examination of a design application
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00

SUBMITTED BY		Complete (if applicable)		
Name (print/type)	Mark J. Thronson	Registration No. (Attorney/Agent)	33,082	Telephone (202) 775-4742
Signature	<i>Mark J. Thronson</i>	Date	September 27, 2001	

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
Fee Record Sheet

10/01/2001 EAREGAY1 00000009 09963414

01 FC:101	710.00 OP
02 FC:102	80.00 OP
03 FC:104	270.00 OP

PTO-1556

(5/87)

*U.S. GPO: 2000-468-987/39595